Accepted Manuscript

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 PII:
 S0019-1035(13)00079-1

 DOI:
 http://dx.doi.org/10.1016/j.icarus.2013.02.017

 Reference:
 YICAR 10549

To appear in: *Icarus*

Received Date:26 June 2012Revised Date:31 January 2013Accepted Date:12 February 2013



Please cite this article as: V.I. shCherbak, M.A. Makukov, The "Wow! signal" of the terrestrial genetic code, *Icarus* (2013), doi: http://dx.doi.org/10.1016/j.icarus.2013.02.017

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Title:

The "Wow! signal" of the terrestrial genetic code

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Abstract

It has been repeatedly proposed to expand the scope for SETI, and one of the suggested alternatives to radio is the biological media. Genomic DNA is already used on Earth to store non-biological information. Though smaller in capacity, but stronger in noise immunity is the genetic code. The code is a flexible mapping between codons and amino acids, and this flexibility allows modifying the code artificially. But once fixed, the code might stay unchanged over cosmological timescales; in fact, it is the most durable construct known. Therefore it represents an exceptionally reliable storage for an intelligent signature, if that conforms to biological and thermodynamic requirements. As the actual scenario for the origin of terrestrial life is far from being settled, the proposal that it might have been seeded intentionally cannot be ruled out. A statistically strong intelligent-like "signal" in the genetic code is then a testable consequence of such scenario. Here we show that the terrestrial code displays a thorough precision-type orderliness matching the criteria to be considered an informational signal. Simple arrangements of the code reveal an ensemble of arithmetical and ideographical patterns of the same symbolic language. Accurate and systematic, these underlying patterns appear as a product of precision logic and nontrivial computing rather than of stochastic processes (the null hypothesis that they are due to chance coupled with presumable evolutionary pathways is rejected with *P*-value $< 10^{-13}$). The patterns display readily recognizable hallmarks of artificiality, among which are the symbol of zero, the privileged decimal syntax and semantical symmetries. Besides, extraction of the signal involves logically straightforward but abstract operations, making the patterns essentially irreducible to natural origin. Plausible ways of embedding the signal into the code and possible interpretation of its content are discussed. Overall, while the code is nearly optimized biologically, its limited capacity is used extremely efficiently to pass non-biological information.

1. Introduction

Recent biotech achievements make it possible to employ genomic DNA as data storage more durable 1 2 than any media currently used (Bancroft et al., 2001; Yachie et al., 2008; Ailenberg and Rotstein, 2009). Perhaps the most direct application for that was proposed even before the advent of synthetic biology. 3 Considering alternative informational channels for SETI, Marx (1979) noted that genomes of living cells 4 5 may provide a good instance for that. He also noted that even more durable is the genetic code. Exposed to 6 strong negative selection, the code stays unchanged for billions of years, except for minor variations (Knight et al., 2001) and context-dependent expansions (Yuan et al., 2010). And yet, the mapping between 7 codons and amino acids is malleable, as they interact via modifiable molecules of tRNAs and aminoacyl-8 9 tRNA synthetases (Giegé et al., 1998; Ibba and Söll, 2000; see also Appendix A). This ability to reassign 10 codons, thought to underlie the evolution of the code to multilevel optimization (Bollenbach et al., 2007), 11 also allows to modify the code artificially (McClain and Foss, 1988; Budisa, 2006; Chin, 2012). It is 12 possible, at least in principle, to arrange a mapping that both conforms to functional requirements and harbors a small message or a signature, allowed by 384 bits of informational capacity of the code. Once 13 14 genome is appropriately rewritten (Gibson et al., 2010), the new code with a signature will stay frozen in the cell and its progeny, which might then be delivered through space and time to putative recipients. Being 15 16 energy-efficient (Rose and Wright, 2004) and self-replicating, the biological channel is also free from problems peculiar to radio signals: there is no need to rely on time of arrival, frequency and direction. 17 18 Thus, due to these restrictions the origin of the famous "Wow!" signal received in 1977 remains uncertain 19 (Ehman, 2011). The biological channel has been given serious considerations for its merits in SETI, though 20 with the focus on genomes (Yokoo and Oshima, 1979; Freitas, 1983; Nakamura, 1986; 21 Davies, 2010, 2012).

Meanwhile, it has been proposed to secure terrestrial life by seeding exoplanets with living cells (Mautner, 2000; Tepfer, 2008), and that seems to be a matter of time. The biological channel suggests itself in this enterprise. To avoid anthropocentric bias, it might be admitted that terrestrial life is not the starting point in the series of cosmic colonization (Crick and Orgel, 1973; Crick, 1981). If so, it is natural to expect

a statistically strong intelligent-like "signal" in the terrestrial genetic code (Marx, 1979). Such possibility is
incited further by the fact that how the code came to be apparently non-random and nearly optimized still
remains disputable and highly speculative (for reviews of traditional models on evolution of the code see
Knight et al., 1999; Gusev and Schulze-Makuch, 2004; Di Giulio, 2005; Koonin and Novozhilov, 2009).

The only way to extract a signal, if any, from the code is to arrange its elements – codons, amino acids and syntactic signs – by their parameters using some straightforward logic. These arrangements are then analyzed for patterns or grammar-like structures of some sort. The choice of arrangements and parameters should exclude arbitrariness. For example, only those parameters should be considered which do not depend on systems of physical units. However, even in this case *a priori* it is unknown exactly what kind of patterns one might expect. So there is a risk of false positives, as with a data set like the genetic code it is easy to find various patterns of one kind or another.

Nonetheless, the task might be somewhat alleviated. First, it is possible to predict some general aspects 37 of a putative signal and its "language", especially if one takes advantage of active SETI experience. For 38 39 example, it is generally accepted that numerical language of arithmetic is the same for the entire universe 40 (Freudenthal, 1960; Minsky, 1985). Besides, symbols and grammar of this language, such as positional numeral systems with zero conception, are hallmarks of intelligence. Thus, interstellar messages sent from 41 42 the Earth usually began with natural sequence of numbers in binary or decimal notation. To reinforce the 43 artificiality, a symbol of zero was placed in the abstract position preceding the sequence. Those messages 44 also included symbols of arithmetical operations, Egyptian triangle, DNA and other notions of human consciousness (The Staff at the NAIC, 1975; Sagan et al., 1978; Dumas and Dutil, 2004). 45

Second, to minimize the risk of false positives one can impose requirements as restrictive as possible on a putative signal. For example, it is reasonable to expect that a genuinely intelligent message would represent not just a collection of patterns of various sorts, but patterns of the same "linguistic style". In this case, if a potential pattern is noticed, further search might be narrowed down to the same sort of patterns. Another stringent requirement might be that patterns should involve each element of the code in each

arrangement, whereas the entire signal should occupy most, if not all, of the code's informational capacity.
By and large, given the nature of the task, specifics of the strategy are defined en route.

Following these lines, we show that the terrestrial code harbors an ensemble of precision-type patterns matching the requirements mentioned above. Simple systematization of the code reveals a strong informational signal comprising arithmetical and ideographical components. Remarkably, independent patterns of the signal are all expressed in a common symbolic language. We show that the signal is statistically significant, employs informational capacity of the code entirely, and is untraceable to natural origin. The models of emergence of primordial life with original signal-free genetic code are beyond the scope of this paper; whatever it was, the earlier state of the code is erased by palimpsest of the signal.

60 2. Background

Should there be a signal in the code, it would likely have manifested itself someway during the half-61 century history of traditional analysis of the code organization. So it is of use to summarize briefly what 62 63 has been learned about that up to date. Also, for the sake of simplicity in data presentation, we will mention in advance some *a posteriori* information concerning the signal to be described, with fuller discussion in 64 due course. We suggest to a reader unfamiliar with molecular mechanisms behind the genetic code first to 65 66 refer to Appendix A, where it is also explained why the code is amenable to intentional "modulation" (to use the language of radio-oriented SETI) and, at the same time, is highly protected from casual 67 "modulation" (i.e., has strong noise immunity). 68

69 2.1. The code at a glance

As soon as the genetic code was biochemically cracked (Nirenberg et al., 1965), its non-random structure became evident (Woese, 1965; Crick, 1968). The most obvious pattern that emerged in the code was its regular redundancy. The code comprises 16 codon families beginning with the same pair of bases, and these families generally consist of either one or two equal series of codons mapped to one amino acid or to *Stop* (Fig. 1a). In effect, the standard code is nearly symmetric in redundancy. There are only two families split unequally: those beginning with TG and AT. The minimum action to restore the symmetry is

to match TG-family against AT-family by reassigning TGA from Stop to cysteine. Incidentally, this 76 symmetric version is not just a theoretical guess but is also found in nature as the nuclear code of euplotid 77 ciliates (Meyer et al., 1991). While the standard code stores the arithmetical component of the signal, the 78 79 symmetrical euplotid version keeps the ideographical one (the interrelation between these two code 80 versions is discussed later, see Section 4.2). Regular redundancy leads also to the block structure of the genetic code. This makes it possible to depict the code in a contracted form, where each amino acid 81 corresponds to a single block, or a contracted series (Fig. 1b). The three exceptions are Arg, Leu and Ser, 82 83 which have one IV-series and one II-series each.

Apart from regular redundancy, a wealth of other features were reported afterwards, among which are 84 85 robustness to errors (Alff-Steinberger, 1969), correlation between thermostability and redundancy of codon families (Lagerkvist, 1978), non-random distribution of amino acids among codons if judged by their 86 polarity and bulkiness (Jungck, 1978), biosynthetic pathways (Taylor and Coates, 1989), reactivity 87 (Siemion and Stefanowicz, 1992), and even taste (Zhuravlev, 2002). The code was also shown to be 88 effective at handling additional information in DNA (Baisnée et al., 2001; Itzkovitz and Alon, 2007). 89 Apparently, these features are related, if anything, to the direct biological function of the code. There are 90 also a number of abstract approaches to the code, such as those based on topology (Karasev and Stefanov, 91 92 2001), information science (Alvager et al., 1989), and number theory (Gonzalez, 2004). However, the main 93 focus of these approaches is in constructing theoretical model descriptions of known features in the code, rather than dealing with new ones. 94

All in all, only two intrinsic regularities, observed early on in the study of the code, might suggest possible relation to a putative signal due to their conspicuous and unambiguous character. They also suggest two dimensionless integer parameters for signal extraction. These are quantity of codons in a series mapped to one amino acid (redundancy) and quantity of nucleons in amino acid molecules. These parameters might be called "ostensive numerals" by analogy with the quantity of radio beeps in *Lingua Cosmica* (Freudenthal, 1960).

101 2.2. Rumer's bisection

Rumer (1966) bisected the code by redundancy – the first "ostensive numeral". There are 8 whole families and 8 split families in the code (Fig. 2a). Rumer found that codons in these families are mapped to each other in a one-to-one fashion with a simple relation $T\leftrightarrow G$, $C\leftrightarrow A$, now known as Rumer's transformation. There are two more transformations of such type: $T\leftrightarrow C$, $A\leftrightarrow G$ and $T\leftrightarrow A$, $C\leftrightarrow G$. They also appear in Rumer's bisection and each makes half of what Rumer's transformation makes alone.

Arbitrary bisection of the code has small chances to produce a transformation, and still less - their 107 ordered set (see Appendix B). Rumer's finding was rediscovered by Danckwerts and Neubert (1975), who 108 also noted that this set might be described with a structure known in mathematics as the Klein-4 group. 109 That triggered a series of yet other models involving group theory to describe the code (Bertman and 110 Jungck, 1979; Hornos and Hornos, 1993; Bashford et al., 1998), which, admittedly, did not gain decisive 111 insights. Meanwhile, in traditional theories of the code evolution this accurate feature was ignored 112 altogether, though it was repeatedly rediscovered again (e.g., see Wilhelm and Nikolajewa, 2004). 113 114 Noteworthy, this regularity – which turns out to be a small portion of the signal – was first noticed immediately after codon assignments were elucidated. Together with the fact of rediscoveries, this speaks 115 for the anticryptographic nature of the signal inside the code. 116

117 2.3. Amino acid nucleons

Hasegawa and Miyata (1980) arranged amino acids in order of increasing nucleon number – the second "ostensive numeral" which, unlike other amino acid properties, does not rely on arbitrarily chosen system of units. Such arrangement reveals a rough anticorrelation: the greater the redundancy the smaller the nucleon number (Fig. 2b). This promoted speculations that prevailing small amino acids occupied the series of higher redundancy during the code evolution. As shown below, this anticorrelation is a derivative of the signal. Moreover, exactly this observation suggests simple systematization for both "ostensive numerals": monotonous arraying of nucleon and redundancy numbers in opposite directions.

On the whole, Hasegawa and Miyata dealt with amino acids whereas Rumer dealt with codons.
Combined, these approaches yield assignments between codons and amino acid nucleon numbers

- 127 convenient for systematization. *Stop*-codons code for no amino acid; therefore, to include them into the
 128 systematization, they are assigned a zero nucleon number.
- 129 2.4. The activation key

All arithmetical patterns considered further appear with the differentiation between blocks and chains in 130 all 20 amino acids and with the subsequent transfer of one nucleon from side chain to block in proline 131 (Fig. 2b). Proline is the only exception from the general structure of amino acids; it holds its side chain 132 with two bonds and has one hydrogen less in its block. The mentioned transfer in proline "standardizes" its 133 block nucleon number to 73 + 1 and reduces its chain nucleons to 42 - 1. In itself, the distinction between 134 blocks and chains is purely formal: there is no stage in protein synthesis where amino acid side chains are 135 detached from standard blocks. Therefore, there is no any natural reason for nucleon transfer in proline; it 136 can be simulated only in the mind of a recipient to achieve the array of amino acids with uniform structure. 137 Such nucleon transfer thus appears artificial. However, exactly this seems to be its destination: it protects 138 139 the patterns from any natural explanation. Minimizing the chances for appealing to natural origin is a distinct concern in messaging of such kind, and this problem seems to be solved perfectly for the signal in 140 the genetic code. Applied systematically without exceptions, the artificial transfer in proline enables 141 holistic and arithmetically precise order in the code. Thus, it acts as an "activation key". While nature deals 142 with the actual proline which does not produce the signal in the code, an intelligent recipient easily finds 143 144 the key and reads messages in arithmetical language (see also Section 4.1).

145 2.5. Decimalism

The arithmetical patterns to be described hold true in any numeral system. However, as it turned out, expressed in positional decimal system, they all acquire conspicuously distinctive notation. Therefore, here we briefly provide some relevant information.

Nature is indifferent to numerical languages contrived by intelligence to represent quantities, including zero. A privileged numeral system is therefore a reliable sign of artificiality. Intentionally embedded in an object, a privileged system might then demonstrate itself through distinctive notation to any recipient

dealing with enumerable elements of that object. For example, digital symmetries of numbers divisible by 152 prime 037 exist only in the positional decimal system with zero conception (Fig. 3). Thus, distinctive 153 decimals 111, 222 and 333 look ordinarily 157, 336 and 515 in the octal system. This notational feature 154 155 was marked by Pacioli (1508) soon after the decimal system came to Europe. Analogous three-digit feature exists in some other systems, including the quaternary one (see Appendix C). 156 CRI

157 3. Results

3.1. General structure of the signal 158

The overall structure of the signal is shown in Fig. 4, which might be used as guidance in further 159 description. The signal is composed of arithmetical and ideographical patterns, where arithmetical units are 160 161 represented by amino acid nucleons, whereas codon bases serve as ideographical entities. The patterns of the signal are displayed in distinct logical arrangements of the code, thereby increasing both the 162 informational content of the signal and its statistical significance. Remarkably, all of the patterns bare the 163 same general style reflected in Fig. 4 with identical symbols in each signal component (represented by 164 boxes). Namely, distinct logical arrangements of the code and activation key produce exact equalities of 165 166 nucleon sums, which furthermore display decimalism and are accompanied by Rumer's and/or half-167 transformations. One of these arrangements furthermore leads to ideography and semantical symmetries. All elements of the code – 64 codons, 20 amino acids, *Start* and *Stop* syntactic signs – are involved in each 168 arrangement. 169

170 Unlike radio signals which unfold in time and thus have sequential structure, the signal in the genetic 171 code has no beginning and ending, similar to the pictorial message of Pioneer plaques (Sagan et al., 1972). However, instead of providing pictograms the signal in the genetic code provides patterns that do not 172 depend on visual symbols chosen to represent them (be it symbols for nucleotide bases or for the notation 173 174 of "ostensive numerals"). These patterns make up the organic whole, so there is no unique order in 175 presenting them. We will begin with arithmetical component and then move on to ideography.

176 3.2. The arithmetical component

177 3.2.1. Full-size standard code

One logically plain arrangement of the code was proposed by George Gamow in his attempt to guess the coding assignments theoretically before the code was cracked (see Hayes, 1998). He could not have known in the fifties about the signal inside the code but one of his models, though it did not predict the actual mapping correctly, coincided remarkably with one of the signal component. Gamow arranged codons according to their composition, since 20 combinations of four bases taken three at a time could account for 20 amino acids (Gamow and Yčas, 1955). Application of the activation key and few "freezing" conditions to this arrangement reveals total nucleon balancing ornate with decimal syntax.

Codons with identical and unique bases comprise two smaller sets (Fig. 5a). Halved, both sets show the 185 balance of side chains with $703 = 0.037 \times 19$ nucleons in each half as well as the balance of whole molecules 186 with $1665 = 666 + 999 \times 1$ nucleons. Importantly, the halving is not arbitrary. Codons are opposed by 187 Rumer's transformation along with the half-transformation $T\leftrightarrow C$, $A\leftrightarrow G$ in the first set and $T\leftrightarrow A$, $C\leftrightarrow G$ 188 in the second set. The Spin \rightarrow Antispin transformation does not affect the first set but finally freezes 189 190 elements of the second one. There is only one degree of freedom left since there are no reversible transformations that might connect both sets, so one of them is free to swap around the axis. The balance 191 192 appears in one of the two alternative states.

The third set includes codons with two identical bases. When halved according to whether they are purines or pyrimidines, regardless of the unique base type, this set shows the balance 999 = 999 of side chains (Fig. 5b). Besides, such halving keeps Rumer's and one of the half-transformations again in place. In its turn, the right half of the set is threefold balanced. Codons with adenine side by side, guanine side by side and palindromic codons make up three equal parts with 333 nucleons each.

In Fig. 5c the same set is halved according to whether unique bases are purines or pyrimidines, this time regardless of the identical bases type. Though not balanced, these halves again show distinctive decimal syntax with 888 and $1110 = 111 + 999 \times 1$ nucleons. Decimalism of one of these sums is algebraically dependent, as from the previous case (Fig. 5b) the sum of the whole set is known to be divisible by 037; if a part of this set is decimally distinctive, the other one will be such automatically. Notably, an independent

pattern nonetheless stands out here. Namely, a part of the previous threefold balance has an equivalent in one half here, where the same amino acids are represented by synonymous codons (Fig. 5b and c). Whole molecules of this equivalent – 333 side chain and 444 standard block nucleons – are balanced with 777 chain nucleons in the rest of the subset.

Note that all those distinctive notations of nucleon sums appear only in positional decimal system. The decimal notation is so customary in our culture that most of its users hardly remember a fairly complex rule behind it that encodes numbers as $a_{n-1} \times q^{n-1} + ... + a_1 \times q^1 + a_0 \times q^0$, where q = 10, *n* is the quantity of digits in the notation, and a_i – digits 0-9 that are left in the final notation.

211 3.2.2. Decomposed standard code

Another arrangement of the code is brought about by decomposition of its 64 full-size codons. This yields 192 separate bases and reveals a pattern of the same type as in full-size format. Identical bases make up four sets of 48 bases in each. Each base retains the amino acid or *Stop* of its original codon (Fig. 6a). Thus, the four sets get their individual chain and block nucleon sums.

In total, there are $222 + 999 \times 10$ side chain nucleons in the decomposed code – obviously, thrice as much as the total sum in the previous full-size case (with the activation key still applied). Only one combination of the four sets displays distinctive decimalism of side chain nucleon sums. These are 666 + 999×2 nucleons in the **T**-set and 555 + 999×7 nucleons in the joint CGA-set (Fig. 6b). Meanwhile, there are exactly 222 + 999×10 block nucleons in the CGA-set (note that the sets have unequal block sums due to different accumulation of *Stops*). Thus, while chain nucleons are outnumbered by block nucleons overall the code, they are neatly balanced with their CGA-part.

223 *3.2.3. Contracted code and the systematization rule*

In a sense, contraction of codon series (see Fig. 1b) is an operation logically opposite to decomposition. Besides displaying new arithmetical patterns, contracted code also reveals ideographical component of the signal. The systematization rule leading to the ideography combines findings of Rumer (1966) and of Hasegawa and Miyata (1980) and is ostensive by itself (*sh*Cherbak, 1993). Contracted series are sorted into

four sets according to their redundancy; within those sets they are aligned side-by-side in order of monotonously changing (e.g., increasing) nucleon number. The sets themselves are then arranged in antisymmetrical fashion (e.g., in order of decreasing redundancy number). *Stop*-series is placed at the beginning of its set representing zero in its special position. Finally, Rumer's bisection opposes the IV-set to III, II, I sets. The resulting arrangement is shown in Fig. 7 for the euplotid code, with ideography of codon bases (see next section) in Fig. 7a and arithmetical patterns of amino acids (shared by both code versions) in Fig. 7b.

A new balance is found in the joint III, II, I set. Side chain nucleons of all its amino acids are equalized with their standard blocks: $111 + 999 \times 1 = 111 + 999 \times 1$ (Fig. 7b). This pattern manifests as the anticorrelation mentioned by Hasegawa and Miyata (1980). Chain nucleon sum of all series in the code is less than the sum of all blocks. Only a subset of series coding mainly bigger amino acids may equalize its own blocks. Exactly this happens in the joint III, II, I set. As a consequence, smaller amino acids are left in the set of redundancy IV.

Meanwhile, there are 333 chain and 592 block nucleons and 333 + 592 = 925 nucleons of whole molecules in the IV-set. With 037 cancelled out, this leads to $3^2 + 4^2 = 5^2$ – numerical representation of the Egyptian triangle, possibly as a symbol of two-dimensional space. Incidentally, codon series in the ideogram (Fig. 7a) are arranged in the plane rather than linearly in a genomic fashion.

Rumer's bisection is based on redundancy and thus makes use of third positions in codon series. Divisions of the contracted code based on first and center positions also reveal similar patterns (Fig. 8). Another arithmetical phenomenon presumably related to the signal – the cytoplasmic balance – is described in *Appendix D*.

Thus, the standard code reveals same-style and yet algebraically independent patterns simultaneously in decomposed, full-size, and contracted representations (see Fig. 4). It is a highly nontrivial algebraic task to find the solution that maps amino acids and syntactic signs to codons in a similar fashion. Normally this would require considerable computational power.

253 *3.3. The ideographical component*

254 3.3.1. Upper strings

We refer to the product of systematization in Fig. 7a as the ideogram. The ideogram of the genetic code is based on symmetries of its strings (*sh*Cherbak, 1988). The strings are read across contracted series.

The upper short string demonstrates *mirror*, *translation* and *inversion* symmetries (Fig. 9a). Its bases are invariant under combined operation of the *mirror* symmetry and *inversion* of the type base \rightarrow complementary base. A minimum pattern of the *translation* symmetry is represented by *RRYY* quadruplet.

The same three symmetries arrange the long upper string (Fig. 9b). The pair of flanking TATAT sequences is *mirror* symmetrical. The pair of central *AGC* codons forms a minimum pattern of the *translation* symmetry. First and third bases in the set of redundancy II are interconnected in an axisymmetric manner with purine \leftrightarrow pyrimidine *inversion* and its opposite operation – the unit transformation producing no exchange.

266 *3.3.2. Center strings*

267 Placed coaxially, the short and the long center strings appear interconnected with purine↔pyrimidine
268 *inversion* (Fig. 10a). Both strings exhibit purine-pyrimidine *mirror* symmetry. The long string keeps the
269 mirror symmetry even for ordinary bases.

Codons of the short string CCC and TCT break the mirror symmetry of ordinary bases, but they share a 270 palindromic feature, i.e. direction of reading invariance. This feature restores the mirror symmetry, this 271 272 time of the *semantical* type (Fig. 10b). As in the previous case, two center strings are expected to share the same set of symmetries. Therefore, the semantical symmetry of palindromic codons flanked by G-bases 273 274 may indicate a similar feature in the long string. Indeed, semantical symmetry is found there in the triplet 275 reading frame starting after flanking G-base (Fig. 10c). This reading frame is remarkable with the regular 276 arrangement of all syntactic signs of the euplotid code - both Stop-codons and the Start-codon repeated 277 twice. The reading frame displays the semantical mirror symmetry of antonyms with homogeneous AAA-278 codon in the center.

The codons of this reading frame are purely abstract symbols, given that they are read across contracted 279 series. However, they are regularly crossed with the same codons in the ideogram, thereby reinforcing the 280 281 semantical symmetry and making the current frame unique (Fig 10c). Besides, direction of reading now 282 becomes distinguished since such "crossword" disappears if read in opposite way, though the palindrome 283 itself remains the same.

284 Remarkably, the triplet string in Fig. 10c is written with the code symbols within the code itself. This implies that the signal-harboring mapping had to be projected preliminarily (see Section 4.3 in Discussion). 285 Besides, translation of this string with the code itself reveals the balance 222 = 222 of chains and blocks 286 (Fig. 10d). Additional palindrome in the frame shifted by one position (Fig. 10e) reproduces the chain sum 287 288 of 222, confirming that the ideogram is properly "tuned in" to the euplotid version: TGA stands for Cys MAT 289 here, not for *Stop* of the standard code.

290 4. Discussion

291 4.1. Artificiality

To be considered unambiguously as an intelligent signal, any patterns in the code must satisfy the 292 following two criteria: (1) they must be highly significant statistically and (2) not only must they possess 293 intelligent-like features (Elliott, 2010), but they should be inconsistent in principle with any natural 294 295 process, be it Darwinian (Freeland, 2002) or Lamarckian (Vetsigian et al., 2006) evolution, driven by amino acid biosynthesis (Wong, 2005), genomic changes (Sella and Ardell, 2006), affinities between 296 (anti)codons and amino acids (Yarus et al., 2009), selection for the increased diversity of proteins (Higgs, 297 2009), energetics of codon-anticodon interactions (Klump, 2006; Travers, 2006), or various pre-298 299 translational mechanisms (Wolf and Koonin, 2007; Rodin et al., 2011).

300 The statistical test for the first criterion is outlined in *Appendix B*, showing that the described patterns 301 are highly significant. The second criterion might seem unverifiable, as the patterns may result from a 302 natural process currently unknown. But this criterion is equivalent to asking if it is possible at all to embed 303 informational patterns into the code so that they could be unequivocally interpreted as an intelligent 304 signature. The answer seems to be yes, and one way to do so is to make patterns virtual, not actual. Exactly

that is observed in the genetic code. Strict balances and their decimal syntax appear only with the 305 application of the "activation key". Physically, there are no strict balances in the code (e.g., in Fig. 5b one 306 would have $1002 \neq 999$ instead of 999 = 999). Artificial transfer of a nucleon in proline turns the balances 307 308 on and thereby makes them virtual. This is also the reason why we interpret distinctive notation as an 309 indication of decimalism, rather than as a physical requirement (yet unknown) for nucleon sums to be multiples of 037: in general, physically there is no such multiplicity in the code. In its turn, notationally 310 311 preferred numeral system is by itself a strong sign of artificiality. It is also worth noting that all three-digit decimals - 111, 222, 333, 444, 555, 666, 777, 888, 999 (as well as zero, see below) - are represented at 312 313 least once in the signal, which also looks like an intentional feature.

However, it might be hypothesized that amino acid mass is driven by selection (or any other natural 314 process) to be distributed in the code in a particular way leading to approximate mass equalities and thus 315 making strict nucleon balances just a likely epiphenomenon. But it is hardly imaginable how a natural 316 process can drive mass distribution in abstract representations of the code where codons are decomposed 317 318 into bases or contracted by redundancy. Besides, nucleon equalities hold true for free amino acids, and yet 319 in these free molecules side chains and standard blocks had to be treated by that process separately. Furthermore, no natural process can drive mass distribution to produce the balance in Fig. 10d: amino acids 320 321 and syntactic signs that make up this balance are entirely abstract since they are produced by translation of 322 a string read across codons.

Another way to make patterns irreducible to natural events is to involve semantics, since no natural 323 process is capable of interpreting abstract symbols. It should be noted that notions of symbols and 324 meanings are used sometimes in a natural sense (Eigen and Winkler, 1983), especially in the context of 325 326 biosemiotics (Barbieri, 2008) and molecular codes (Tlusty, 2010). The genetic code itself is regarded there as a "natural convention" that relates symbols (codons) to their meanings (amino acids). However, these 327 328 approaches make distinction between organic semantics of molecular codes and interpretive or linguistic 329 semantics peculiar to intelligence (Barbieri, 2008). Exactly the latter type of semantics is revealed in the 330 signal of the genetic code. It is displayed there not only in the symmetry of antonymous syntactic signs

(Fig. 10c), but also in the symbol of zero. For genetic molecular machinery there is no zero, there are nucleotide triplets recognized sterically by release factors at the ribosome. Zero – the supreme abstraction of arithmetic – is the interpretive meaning assigned to *Stop*-codons, and its correctness is confirmed by the fact that, being placed in its proper front position, zero maintains all ideogram symmetries. Thus, a trivial summand in balances, zero, however, appears as an *ordinal* number in the ideogram. In other words, besides being an integral part of the decimal system, zero acts also as an individual symbol in the code.

In total, not only the signal itself reveals intelligent-like features – strict nucleon equalities, their distinctive decimal notation, logical transformations accompanying the equalities, the symbol of zero and semantical symmetries, but the very method of its extraction involves abstract operations – consideration of idealized (free and unmodified) molecules, distinction between their blocks and chains, the activation key, contraction and decomposition of codons. We find that taken together all these aspects point at artificial nature of the patterns.

Though the decimal system in the signal might seem a serendipitous coincidence, there are few possible 343 explanations, from ten-digit anatomy as an evolutionary near-optimum for bilateral beings (Dennett, 1996) 344 to the fact that there are conveniently $74 = 2 \times 037$ nucleons in the standard blocks of α -amino acids. 345 Besides, the decimal system shares the triplet digital symmetry with the quaternary one (see Appendix C), 346 establishing a link to the "native" language of DNA. After all, some of the messages sent from the Earth 347 348 included the decimal system as well (Sagan et al., 1978; Dumas and Dutil, 2004), though they were not supposed to be received necessarily by ten-digit extraterrestrials. Whatever the actual reason behind the 349 decimal system in the code, it appears that it was invented outside the solar system already several billions 350 351 years ago.

352 *4.2. Two versions of the code*

The nearly symmetric code version with arithmetical patterns acts as the universal standard code. With this code at hand it is intuitively easy to infer the symmetric version with its ideography. Vice versa, if the symmetric version were the universal one, it would be hardly possible to infer the nearly symmetric code with all its arithmetical patterns. Therefore, with the standard version alone it is possible to "receive" both

arithmetical and ideographical components of the signal, even if the symmetric version was not found in nature. There are two possible reasons why it is actually found in euplotid ciliates: either originally when Earth was seeded there were both versions of the code with one of them remaining currently in euplotid ciliates, or originally there was only the standard version, and later casual modification in euplotid lineage coincided with the symmetric version.

What concerns other known versions of the code, they seem neither to have profound pattern ensembles, nor to be easily inferable from the standard code. Most probably they represent casual deviations caused by ambiguous intermediates or codon captures (Moura et al., 2010).

365 *4.3. Embedding the signal*

To obtain a code with a signature one might search through all variant mappings and select the "most 366 interesting" one. However, this method is unpractical (at least with the present-day terrestrial computing 367 facilities), given the astronomically huge number of variant codes. In a more realistic alternative, the 368 369 pattern ensemble of the signal is projected preliminarily as a system of algebraic expressions which is then 370 solved relatively easily to deduce the mapping of the code. Thus, all described patterns might be represented *post factum* as a system of Diophantine equations and inequalities, and numerical analysis of 371 372 this system shows that it uniquely determines the mapping between codon series and nucleon numbers. including zeros for Stop-codons (see Appendix E). Though some amino acids have equal nucleon numbers, 373 as the case for Leu and Ile, or Lys and Gln, even they are not interchangeable, as suggested by distinctive 374 notation of nucleon sums in β , γ and other positional levels of side chains in the contracted code (Figs. 7b 375 and 8a). The activation key applies here as well (note that β - and δ -carbons in proline are positionally 376 equivalent). The standard chemical nomenclature of carbon atoms is extended here to denote positions of 377 378 other nodal atoms. Decimalism in different combinations of levels circumvents algebraic dependence and defines chemical structure of amino acids more rigidly. 379

These patterns within side chains go even deeper into chemical structure. Some of the canonical amino acids – His, Arg and Trp – might exist in alternative neutral tautomeric forms differing in the position of one hydrogen atom in their side chains (Taniguchi and Hino, 1981; Rak et al., 2001; Li and Hong, 2011).

Though some of these tautomers occur very rarely at cytoplasmic pH (as the case for indolenine tautomer of Trp shown in Fig. 7b), all neutral tautomers are legitimate if idealized free molecules are considered, and taking only one of them would introduce arbitrariness. Notably, however, that while one Trp tautomer maintains the patterns in Fig. 7b, another one does the job in Fig. 8a, whereas any neutral tautomer of His and Arg might be taken in both cases without affecting the patterns at all (which is easily checked; to this end, both Arg tautomers are shown in Fig. 8a and both His tautomers are shown in Figs. 7b and 8a).

Importantly, preliminary projecting of a signal admits imposition of functional requirements as extra formal conditions. The terrestrial code is known to be conservative with respect to polar requirement (Freeland and Hurst, 1998), but not to molecular size (Haig and Hurst, 1991). The signal in the code does not involve polar requirement as such, so it might be used in a parallel formal condition to reduce effect of misreadings. However, the signal does involve nucleon numbers which correlate with molecular volume. That interferes with an attempt to make the code conservative with respect to size of amino acids as well.

395 4.4. Possible interpretation

Besides having the function of an intelligent signature as such, the signal in the genetic code might also 396 admit sensible interpretations of its content. Without claim to be correct, here we propose our own version. 397 It is now tempting to think that the main body of the message might reside in genomes (Marx, 1979; see 398 also Hoch and Losick, 1997). Though the idea of genomic SETI (Davies, 2010) might seem naïve in view 399 400 of random mutations, things are not so obvious. For example, a locus with a message might be exposed to purifying selection through coupling to essential genes, and there is even possible evidence for that (*ibid*.). 401 402 Whatever the case, the ideogram does seem to provide a reference to genomes. Thus, complementary 403 mirror-symmetrical bases of the short upper string (Fig. 9a) resemble Watson-Crick pairs; the four central 404 bases TC|GA and the central axis therefore possibly represent the symbol of the genomic DNA itself. 405 Flanking TATAT bases (Fig. 9b) might symbolize consensus sequence found in promoters of most genes. 406 Coding sequences of genes are located between Start- and Stop-codons. Vice versa, nontranslated regions are found between Stop- and Start-codons of neighbor genes. Therefore the triplet string in Fig. 10c might 407 408 symbolize intergenic regions, and may be interpreted as the address of the genomic message.

The privileged numeral system in the code might also be interpreted as an indication of a similar feature 409 in genomes. It is often said that genomes store hereditary information in quaternary digital format. There 410 411 are 24 possible numberings of DNA nucleotides with digits 0, 1, 2, 3. The ideogram seems to suggest the proper one: T = 0, C = 1, G = 2, A = 3. In this case the TCGA quadruplet (Fig. 9a), read in the 412 413 distinguished direction, represents the natural sequence preceded by zero. Palindromic codons CCC and 414 TCT (Fig. 10b) become a symbol of the quaternary digital symmetry 111₄ and the radix of the corresponding system $010_4 = 4$, respectively. Translationally related AGC, or 321_4 , codons (Fig. 9b) 415 possibly indicate positions in quaternary place-value notation, with higher orders coming first. The sum of 416 417 digital triplets in the string TAG + TAA + AAA + ATG + ATG (Fig. 10c) equals to the number of nucleotides in the code $3000_4 = 192$. Besides, T as zero is opposed to the other three "digits" in the 418 419 decomposed code (Fig. 6). Finally, each complementary base pair in DNA sums to 3, so the double helix 420 looks numerically as 333...4, and the central AAA codon in Fig. 10c becomes the symbol of duplex DNA located between genes. Should this particular numbering have relation to the genomic message, if any, is a 421 422 matter of further research.

It is worth mentioning that all genomes, despite their huge size and diversity, do possess a feature as universal as the genetic code itself. It is known as the second Chargaff's rule. In almost all genomes – from viral to human – the quantities of complementary nucleotides, dinucleotides and higher oligonucleotides up to the length of ~ 9 are balanced to a good precision within a *single* DNA strand (Okamura et al., 2007). Unlike the first Chargaff's rule which quickly found its physicochemical basis, the second rule with its total orderliness still has no obvious explanation.

429 Appendix A. Molecular implementation of the genetic code

Here we outline molecular workings behind the genetic code which explain why it stays unchanged for
billions of years and, at the same time, might be readily modified artificially, e.g., for embedding a signal.
For simplicity, we skip the details such as U instead of T in RNA, ATP energetics, wobble pairing, etc.,
that do not affect understanding of the main point (for details see, e.g., Alberts et al., 2008).

The first type of molecule behind the genetic code is transfer RNA (tRNA). They deliver amino acids 434 into ribosomes, where protein synthesis takes place. tRNAs are transcribed as a final product from tRNA 435 genes in genomes by RNA polymerase (Fig. A.1a; for definiteness, the mechanism is shown for amino acid 436 437 Ser and its TCC codon). With the length varying around 80 nucleotides, tRNA transcripts fold in a specific 438 spatial configuration due to base-pairing between different sections of the same RNA strand, similar to as it occurs between two strands of DNA helix (Fig. A.1b). At its opposite sides the folded tRNA molecule has 439 an unpaired anticodon and the acceptor end to which amino acid is to be bound. tRNAs with differing 440 anticodons specifying the same amino acid (remember the code is redundant) are identical in their overall 441 configuration. tRNAs specifying distinct amino acids differ from each other in anticodons as well as other 442 443 spots, so they have slightly different overall configurations. However, acceptor ends are identical in all 444 tRNAs, so for tRNA itself it makes no difference which amino acid is bound to it, no matter which anticodon it has at the opposite side. The process of binding amino acids to tRNAs is performed by protein 445 446 enzymes called aminoacyl-tRNA synthetases (aaRSs, Fig A.1b, bottom). Normally, there are 20 types of aaRSs, one for each amino acid, and they themselves are translated from appropriate genes in genome. 447 Each of these enzymes recognizes with great specificity both its cognate amino acid and all tRNAs with 448 449 anticodons specifying that amino acid; however, tRNAs are recognized primarily by their overall 450 configuration (Fig. A.1c). After binding and additional checking, aaRS releases tRNA charged with amino 451 acid to be delivered to ribosome (Fig. A.1d). In its turn, the ribosome does not care if tRNA carries an amino acid specified by its anticodon; it only checks if the anticodon of tRNA matches complementarily 452 the current codon in messenger RNA (mRNA; Fig. A.1e). If so, the amino acid is transferred from tRNA to 453 the growing peptide chain and tRNA is released to be recycled. If codon and anticodon do not match. 454 455 tRNA with its amino acid is dislodged from the ribosome to be used later until it matches codon on mRNA 456 (even with this overshoot the bacterial ribosome manages to add ~20 amino acids per second to a peptide 457 chain). The described mechanism results in relationships between mRNA codons and amino acids (Fig. 458 A.1f) which, collected together in any convenient form (one possibility is shown in Fig. 1a), constitute the 459 genetic code.

The key point in terms of changeability of the genetic code is that there is no direct chemical interaction 460 between mRNA codons and amino acids at any stage. They interact via molecules of tRNA and aaRS both 461 462 of which might be modified so that a codon is reassigned to another amino acid. As an example, Figures A.1g-k show a simple way of changing the code where two amino acids - Ser and Ala -463 464 interchange two of their codons. It is known that in most organisms tRNA anticodons are not involved in recognition by aaRSs cognate for these amino acids (Giegé et al., 1998; the fact reflected in Fig. A.1c with 465 SARS not touching the anticodon). Therefore, the three nucleotides in tRNA^{Ser} gene corresponding to 466 anticodon might be replaced (Fig. A.1g), in particular, to get GGC anticodon corresponding to GCC codon 467 in mRNA, which normally codes Ala. (To get anticodon for a codon, or vice versa, one has to apply 468 469 complementarity rule and reverse the resulting triplet, since complementary DNA/RNA strands have opposite directionalities). After that, SARS will still bind Ser to tRNA^{Ser}, even though it now has new GGC 470 anticodon (Fig. A.1h). If analogous procedure is performed with tRNA^{Ala} genes to produce tRNA^{Ala} with 471 GGA anticodon, the genetic code would be modified: Ser and Ala would have interchanged some of their 472 473 codons (actually, two codons, due to wobble pairing). However, the cell will not survive such surgery, since all coding genes in genome remain "written" with the previous code and after translation with the 474 new code they all produce non- or at best semi-functional proteins, with Ala occasionally replaced by Ser 475 476 and vice versa. To fix the new code in a cell lineage, one also has to change coding mRNAs appropriately 477 to leave amino acid sequences of coded proteins unaltered (Fig. A.1i). That would be automatically fulfilled if all coding genes are rewritten all over the genome so that TCC codons are replaced with GCC 478 and vice versa (Fig. A.1j); such operation is possible when genomes are even rewritten from scratch 479 480 (Gibson et al., 2010). Now, amino acid sequences of proteins stay unaltered and a cell proliferates with the 481 new genetic code (Fig. A.1k).

It must be clear now why the genetic code is highly protected from casual modifications. If a mutation occurs in tRNA or aaRS leading to codon reassignment, all genes in genome remain written with the previous code, and a cell quickly goes off the scene without progeny. The chances that such mutation in tRNA/aaRS is accompanied by corresponding mutations in coding genes all over the genome resulting in

unaltered proteins are vanishingly small, given that there are dozens of such codons in thousands of genes
in a genome. Thus, the machinery of the genetic code experiences exceptionally strong purifying selection
that keeps it unchanged over billions of years.

It should be reminded that in reality the process of intentional modification of the code is more 489 complicated. For example, details of tRNA recognition by aaRSs vary depending on tRNA species and 490 organism, and in some cases anticodon is involved, partially or entirely, in that process. However, this is 491 492 avoidable, in principle, with appropriate methods of molecular engineering. Another issue is that modifications in the code that leave proteins unaltered still might affect the level of gene expression (Kudla 493 et al., 2009). Therefore, additional measures might have to be taken to restore the expression pattern with 494 the new genetic code. These are surmountable technical issues; the point is that there are no principal 495 restrictions for changing the code artificially in any desired way. In effect, elaborate methods of modifying 496 the overall tRNA configuration and/or aaRS recognition sites might allow not only interchanging two 497 498 amino acids, but introducing new ones.

499 Appendix B. Statistical test

It is appropriate to ask if the presented patterns are merely an artifact of data fishing. To assess that, one 500 501 might compare information volumes of the data set itself (V_0) and of the pattern ensemble within that set (V_p) . The artifact of data fishing might then be defined as the case when $V_p \ll V_0$. As shown in Appendix E, 502 the presented ensemble of patterns might be described with a system of Diophantine equations, where 503 nucleon numbers of amino acids serve as unknowns. Given the set of canonical amino acids (the range of 504 505 possible values for the unknowns), this system is completely defined: it has a single solution and that turns 506 out to be the actual mapping of the code (this also implies that there are no more algebraically independent patterns of the same sort in the code). Hence, $V_p = V_0$, so the pattern ensemble employs informational 507 508 capacity of the code entirely, making the assumption of data fishing artifact irrelevant.

509 One might ask then how likely such pattern ensemble is to appear in the genetic code by chance. Since 510 this question implies that the current mapping of the code has been shaped by natural processes, it is more 511 appropriate to ask how likely such pattern ensemble is to appear by chance under certain conditions

reflecting presumable evolutionary pathways. We tested both versions of the null hypothesis ("the patterns are due to chance alone" and "the patterns are due to chance coupled with presumable evolutionary pathways"). The results are of the same order of magnitude; we describe only the version with presumable natural conditions. Three such conditions reflecting predominant speculations on the code evolution were imposed on computer-generated codes in this test:

(1) Redundancy must be on average similar to that of the real code. This is thought to be due to the 517 specifics of interaction between the ribosome, mRNA and tRNA (Novozhilov et al., 2007). Besides, we 518 took into account possible dependence of the probability for a codon family to stay whole or to be split on 519 the type of its first two bases. This follows from the difference in thermostability between codon-anticidon 520 pairs enriched with strong (G and C) bases and those enriched with weak (A and T) bases (Lagerkvist, 521 1978). For that, the probability for a family of four codons with leading strong doublets to specify a single 522 amino acid is adopted to be 0.9, for those with weak doublets -0.1, and for mixed doublets it is 0.5. Each 523 of the 20 amino acids and Stop is recruited at least once; therefore codes with less than 21 generated blocks 524 525 are discarded. After that blocks are populated randomly with amino acids and *Stop*.

526 (2) Reduced effect of mutations/mistranslations due to natural selection. The cost function for polar 527 requirement was adopted from Freeland and Hurst (1998), taking into account transversion-transition and 528 mistranslation biases (see also Novozhilov et al., 2007). Only those codes are passed further which have 529 cost function value smaller than $\varphi_0 + \sigma$, where φ_0 is the value for the universal code, and σ is the standard 530 deviation for all random codes filtered through the previous condition.

(3) Small departure from the cytoplasmic balance (see *Appendix D*). As argued by Downes and Richardson (2002), this balance might reflect evolutionary pathways optimizing the distribution of mass in proteins. With *C* standing for all side chain nucleons in the code and *B* for all nucleons in block residues, the value $\delta = (C - B)/(C + B)$ is distributed approximately normally with $\mu = 0.043$ and $\sigma = 0.024$ (under the first condition described above). Only those codes were considered which had δ in the range $0 \pm \sigma$, centered on the value of the standard code. As that range corresponds to codes with smaller ("early") amino

acids predominating, this condition also reflects presumable history of the code expansion (Trifonov, 2000;Wong, 2005).

The random variable in question is the number of independent patterns of the same sort in a code. 539 Obviously, the more such patterns are observed in a code, the less likely such observation is. Probably, a 540 541 good approximation here would be a binomial distribution since, for example, a nucleon balance might be regarded as a Bernoulli trial: in a given arrangement the balance is either "on" or "off", where probability 542 for "on" is much smaller than for "off". However, probabilities for balances in distinct arrangements might 543 differ, especially under conditions imposed. Situation is even more complex with ideogram symmetries: 544 symmetry is not just "on" or "off", it is also characterized by the length of the string and the number of 545 nucleotide types involved. Therefore, we do not apply any approximations but use brute-force approach to 546 find distributions for appropriately defined scores for the patterns. Proline was considered with one nucleon 547 transferred from its side chain to its block (note that since the activation key is applied universally, the 548 actual code and the code with the key applied are equivalent statistically). 549

Nucleon balances. Arithmetical patterns of the standard code are all of the same style: equality of nucleon 550 sums + their distinctive decimal notation + at least one of the three transformations (except the decomposed 551 552 case). The search for a random code with a few patterns of this sort (not to mention that they should form an algebraically defined system) turned out to be time-consuming, so the requirements were simplified. 553 Only nucleon equalities were considered, without requirement of distinctive notation in any numeral 554 system. Presence of transformations was required only in Gamow's arrangement for codons with identical 555 and unique bases, since transformations act there in the first place, not as companions of another sorting 556 557 logic. Also for simplicity, only global patterns were considered; "local" features like the threefold balance 558 in Fig. 5b were not checked.

Alternative codes might have balances in arrangements and combinations different from those in the real code. Contrary to as it might seem, there are not so many ways of arranging the code that fix its elements unambiguously. For example, along with Gamow's sorting, several other arrangements were proposed during early attempts to deduce the code theoretically (see Hayes, 1998). One of them is known as the

563 "code without commas" (Crick et al., 1957). However, unlike Gamow's sorting, this and other proposed 564 arrangements do not allow "freezing" the code elements completely, leaving a large degree of arbitrariness.

565 Ultimately, the following arrangements were considered in the test:

566 - divisions based on redundancy;

567 - divisions based on positions in codons (alternating all combinations such as S or W in the first position,

568 R or Y in the second position, etc.);

569 - sortings based on nucleotide composition of codons (alternating all combinations of "freezing"

570 conditions and division logic);

571 - arrangements based on decomposition of codons into bases (alternating all combinations of the four
572 nucleotide sets).

Besides, the first two types might be arranged with full-size or contracted codons. The only possible 573 balance of the peptide representation (Appendix D) was also checked. In total, 160 potential balances (of 574 575 both chain-chain and block-chain types) were checked in all these arrangements. Precautions were made to 576 ignore arithmetical dependencies, as for certain code versions some balances are trivially fulfilled if few 577 others occur. A simple scoring scheme was adopted: the score of a code is the number of algebraically independent nucleon equalities it happens to possess in all arrangements. In this scheme the simplified 578 version of arithmetical patterns in the standard code has the score 7. Computer estimation shows that 579 probability for a code to have the score not less than 7 by chance under imposed conditions is $p_1 = 1.5 \times 10^{-8}$ 580 (Fig. B.1a). 581

Ideogram symmetries. An ideogram might be built for each variant code in the same way as shown in Fig. 7 (however, no requirement is made for whole and split families to be linked with any transformation). There are a few more conceivable ways to build an ideogram using contracted codon series (ideograms based on full-size codons suffer with ambiguities). For example, nucleon and redundancy numbers might be arranged in the same direction, rather than antisymmetrically. Another way is to divide the code by positions in codons (e.g., R or Y in the first position; though these ideograms are simpler as two of their four upper strings are always binary, whereas in ideograms based on redundancy all strings are, in general,

quaternary). In total, 9 ideogram versions were built for each code and checked for symmetries. Namely, 589 590 each of the four strings was checked for $\mathcal{M}, \mathcal{M} + I, \mathcal{T}, \mathcal{T} + I$, where \mathcal{M} and \mathcal{T} stand for mirror and translation symmetries and I denotes pair inversions of all three types. For each symmetry a string of length L gets the 591 592 score L/2, if it contains only two types of bases (or if the symmetry holds only in binary representation RY, SW or KM), and L, if it contains three or all four types of bases. Only whole-string symmetries were 593 considered (in this case multiple symmetries organizing different parts of a string such as in Fig. 9b are not 594 detected; the whole string in Fig. 9b, however, is mirror symmetrical in KM representation). For each 595 ambiguous position (two neighboring series with equal nucleon numbers) the penalty L/3 was introduced. 596 597 Semantical symmetries and balances of translated amino acids were not checked. Finally, if at least one of the four strings has none of the symmetries, the score is divided by 2. The euplotid code has the score 35 in 598 this scheme: 8 for $\mathcal{M} + I_{(T \leftrightarrow A, C \leftrightarrow G)}$ and 4 for \mathcal{T}_{RY} in the upper short string, 4 for \mathcal{M}_{RY} in the center short 599 string, 8 for \mathcal{M}_{KM} in the upper long string, 16 for \mathcal{M} in the center long string, penalty $-16/3 \approx -5$ for Lys and 600 Gln (though in this case their interchange affects neither \mathcal{M}_{KM} in the upper string, nor \mathcal{M} in the center one). 601 602 Computer estimation shows that probability for a code to have the score not less than 35 by chance under imposed conditions is $p_2 = 9.4 \times 10^{-5}$ (Fig. B.1b). 603

We also checked transformations in Rumer's bisections of generated codes, since these transformations served as the guiding principle for signal extraction in the real code. Under the conditions imposed, probability for a random code to have equal numbers of whole and split families which are furthermore linked with any of the three possible transformations was found to be 4.6×10^{-2} . Given that one transformation takes places, the other two might be distributed among codons in the ratios 8:0 (p = 0.125), 4:4 (p = 0.375), or 2:6 (p = 0.5). For the real code this ratio is 4:4 (see Fig. 2a), so finally $p_3 = 1.7 \times 10^{-2}$.

As suggested by a separate computational study, mutual influence of the three types of patterns is negligible, so the total probability for a (very simplified) signal to occur by chance in a single code under imposed conditions is $p_1p_2p_3 = 2.4 \times 10^{-14}$. Since the redundancy-symmetric code is not even needed to be found in nature to reveal the ideogram, the final *P*-value will not differ much from that value.

This result gives probabilities for the specific type of patterns – nucleon equalities and ideogram 614 symmetries. However, testing the hypothesis of an intelligent signal should take into account patterns of 615 616 other sorts as well, as long as they meet the requirements outlined in Introduction. After analysis of the 617 literature on the genetic code our opinion is still that nucleon and redundancy numbers are the best candidates for "ostensive numerals". We admit though that there could be other possibilities and that the 618 obtained P-value should be regarded as a very rough approximation (keep in mind simplifications in the 619 test as well). But admittedly, there just cannot be enough candidates for "ostensive numerals" and 620 corresponding logical arrangements to compensate for the small P-value obtained and to raise it close to 621 622 the significance level.

623 Appendix C. Digital symmetries of positional numeral systems

The digital symmetry described in the main text for the decimal system is related to a divisibility 624 criterion that might be used to effectively perform checksums. Consider the number 27014319417 as an 625 626 example. Triplet reading frame splits this number into digital triplets 270, 143, 194, 170 (any of the three 627 reading frames might be chosen; zeros are added at flanks to form complete triplets). The sum of these triplets equals to 777. Its distinctive notation indicates that the original number is divisible by 037. In four-628 629 digit numbers that appear during summations thousand's digits are transferred to unit's digits. If notation of the resulting sum is not distinctive, add or subtract 037 once. Subsequent distinctive notation will confirm 630 631 the divisibility of the original number by 037 while its absence will disprove it. Thus, the other two frames for the exemplary number yield: 632

- 633 $002 + 701 + 431 + 941 + 700 = 2775 \rightarrow 002 + 775 = 777;$
- $634 \qquad 027 + 014 + 319 + 417 = 777.$

This criterion applies to numbers of any length and requires a register with only three positions. Moving along a linear notation, such register adds digital triplets together and transfers thousand's digits to unit's digits.

The same triplet digital symmetry and related divisibility criterion exist in all numeral systems with radix q that meets the requirement (q - 1)/3 = Integer. The symmetry-related prime number in those systems is found as $111_q/3$. Thus, the feature exists in the quaternary system (q = 4) with prime number 7 (013_4) , septenary system (q = 7) with prime number 19 (025_7) , decimal system (q = 10) with prime number 037, the system with q = 13 and prime number 61 (049_{13}) , and so on. The digital symmetry of the quaternary system is shown in Fig. C.1.

644 Appendix D. The cytoplasmic balance

Fig. D.1 represents the entire genetic code as a peptide. Each amino acid is inserted into this peptide as many times as it appears in the standard code. Amino acid block residues make up the peptide backbone. The resulting polymer is 61 amino acids long. If its N- and C-termini are eliminated by closing the peptide into a ring, its backbone and side chains appear precisely balanced. Notably, this feature is common to natural proteins: their mass is distributed approximately equally between peptide backbone and side chains (Downes and Richardson, 2002). This also automatically implies that frequencies of amino acids in natural proteins correlate with their abundance in the genetic code (see data in Gilis et al., 2001).

Not only the activation key is discarded in this balance, but amino acid molecules are considered as they 652 appear in cytoplasmic environment (where side chains of some of them are ionized). For these reasons the 653 balance shown in Fig. D.1 is referred to as natural or cytoplasmic. Nevertheless, unusual peptide form 654 (though circular peptides do occur rarely in nature, see Conlan et al., 2010) and distinction between amino 655 acid blocks and chains suggest that the cytoplasmic balance and the "virtual" balances shown in the main 656 text are likely to be related phenomena. Possibly, this balance is intended to validate the artificial nature of 657 658 the activation key, showing that only actual proline could maintain patterns in natural environment. This balance was found by Downes and Richardson (2002) from biological aspect. Simultaneously, 659 660 Kashkarov et al. (2002) found it with a formal arithmetical approach.

661 Appendix E. Algebraic representation of the signal

Here we describe a possible way the signal-harboring mapping might have been obtained. As initial data, one has a set of 64 codons and another set of 20 canonical amino acids plus *Stop*. Suppose, the

664 mapping between those two sets is unknown and it has to be deduced from the given pattern ensemble of the signal. There are ~ 10^{83} possible mappings between the two sets, provided that each element from both 665 sets is represented at least once. Knowing the ideogram (without knowing nucleon numbers mapped to 666 667 individual codons) is equivalent to knowing the block structure of the code. From this follows the first 668 portion of equations ggt = ggc = gga = ggg = ggn, ttt = ttc = tty, etc., where codons are used to denote 669 variables - unknown nucleon numbers of amino acid side chains. Thus, the number of elements in the first set is essentially reduced from 64 to 24. But there are still ~ 10^{30} possible mappings left. Now one might 670 write down the nucleon sums from Figs. 5-8 and 10 (leaving out algebraically dependent parts and standard 671 block sums, as we are provided with the set of canonical amino acids) 672

673
$$ggn + gcn + tcn + ccn + gtn + acn + ctn + cgn = 333$$
 (Fig. 7b);

- $674 \quad tgy + tga + ath + tar + agy + ttr + aay + gay + car + aar + gar + cay + tty + agr + tay + atg + tgg =$
- 675 111 + 999 (Fig. 7b);
- 676 tty + ttr + tcn + tay + tar + tgy + tga + tgg + ctn + ccn + cay + car + cgn = 814 (Fig. 8a);
- 677 tty + ttr + tcn + tay + tar + tgy + tga + tgg + gtn + gcn + gay + gar + ggn = 654 (Fig. 8b);
- 678 tty + ttr + ctn + ath + atg + gtn + tgy + tga + tgg + cgn + agy + agr + ggn = 789 (Fig. 8b);
- 679 tty + aar + ath + tcn + cay + 2gcn + ctn + tgy + tga + gay + atg + car + agy = 703 (Fig. 5a);
- $680 \quad ggn + ccn + ctn + 2acn + tay + tcn + 2gtn + 2cgn + agy + tar + gay = 703$ (Fig. 5a);
- $681 \quad tty + 2ttr + 3ccn + 2ctn + ath + gtn + 2tcn + acn + gcn + tay + tgy + cay + cgn = 999$ (Fig. 5b);
- 682 2aay + aar + tar + car + gar = 333 (Fig. 5b);
- 683 3ggn + tgg + cgn + agr = 333 (Fig. 5b);
- 684 ath + acn + agr + gtn + gcn + gar = 333 (Fig. 5b);
- $685 \quad tty + 2ctn + 2tcn + ccn + 2aay + tar + ath + car + acn + 2ggn + tgg + gtn + cgn + gcn = 888$ (Fig. 5c);
- $686 \qquad 5tty + 4ttr + 5ctn + 4ath + atg + 5gtn + 5tcn + ccn + acn + gcn + 3tay + 2tar + cay + aay + gay + 3tgy$
- 687 $+ tga + tgg + cgn + agy + ggn = 666 + 999 \times 2$ (Fig. 6b);
- 688 *2tar* + *aar* + *2atg* = 222 (Fig. 10d);
- 689 agy + 2aar + tgh = 222 (Fig. 10e).

- 690 There are also additional inequalities provided by the ideogram (Fig. 7a):
- 691 $ggn \le gcn \le tcn \le ccn \le gtn \le can \le ctn \le cgn;$
- 692 $tgh \leq ath;$
- 693 $tar \le agy \le ttr \le aay \le gay \le car \le aar \le gar \le cay \le tty \le agr \le tay;$
- 694 $atg \leq tgg$.

Finally, tgh = tgy to account for two code versions. In total, there are 26 unknowns, 16 equations and 4 695 inequalities (the cytoplasmic balance is not accounted here as it has no algebraic connection to this system 696 due to the activation key). Generally, such systems of Diophantine equations have multiple solutions. Since 697 we are interested here in deducing the mapping of the code from available patterns and the fixed set of 698 699 canonical amino acids, the solution is to be searched within the fragmentary domain {0, 1, 15, 31, 41, 43, 700 45, 47, 57, 58, 59, 72, 73, 75, 81, 91, 100, 107, 130}. In this case, analysis of the system with any software 701 algebraic solver shows that this system has a single solution coinciding with the actual mapping of nucleon numbers onto codons: tty = 91, ggn = 1, tga = 0, ath = 57, etc. That still leaves us with several mappings 702 703 for amino acids though, since two of the roots -57 and 72 – represent two amino acids each. This ambiguity is eliminated when side chain patterns (Figs. 7b and 8a) are also taken into account. After that 704 the actual mapping of the code is deduced completely and unambiguously from the algebraic system of 705 706 patterns, given the set of canonical amino acids and *Stop*. In fact, analysis shows that unambiguous solution 707 is achieved even if the restriction of the fragmentary domain is applied only to some of the unknowns. In 708 another approach (shCherbak, 2003) unambiguous solution is achieved even without fixed set of amino 709 acids and with only small assumptions concerning the amino acid set itself.

710 Acknowledgements

Partially the study was financed by the Ministry of Education and Science of the Republic of Kazakhstan. The research was promoted by Professor Bakytzhan Zhumagulov from the National Engineering Academy of the Republic of Kazakhstan. Part of the research was made during V.I.S.' stay at Max-Planck-Institut für biophysikalische Chemie (Göttingen, Germany) on kind invitation of Professor Manfred Eigen. V.I.S. expresses special thanks to Ruthild Winkler-Oswatitsch for her valuable help.

M.A.M. acknowledges the support by the administration of Fesenkov Astrophysical Institute. The authors
are grateful to Professor Paul Davies, Vladimir Kashkarov, Artem Novozhilov, Denis Tulinov, Artem
Yermilov and Denis Yurin for objective criticism and fruitful discussions of the manuscript.

719 Authors' contributions

V.I.S. conceived of and performed the research, developed graphic arts. V.I.S. and M.A.M. analyzed
data, introduced interpretation of the activation key, outlined structure of the paper. M.A.M. performed
statistical test and algebraic analysis, wrote the manuscript.

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901 Fig. 1. The genetic code. (a) Traditional representation of the standard, or universal, code. Codons coding the same amino acid 902 form synonymic series denoted with opening braces. Number of codons in a series defines its redundancy (degeneracy). Whole 903 codon families consist of one series of redundancy IV. Other families are split. Most split families are halved into two series of 904 redundancy II each, one ending with pyrimidines {T, C} and another with purines {A, G}. Three codons in the standard code are 905 not mapped to any amino acid and are used as Stop in translation. The Start is usually signified by ATG which codes Met. 906 Closing brace shows the only difference between the euplotid and the standard code. (b) Contracted representation of the 907 euplotid version. Synonymous full-size codons are replaced by a single contracted series with combined third base. FASTA 908 designations are used: R and Y stand for purines and pyrimidines, respectively. N stands for all four bases and H stands for {T. 909 C, A}. Series are placed vertically for further convenience. The pictogram on the left helps in figures below. Filled elements 910 denote whole families here.

911 Fig. 2. Preceding observations. (a) Rumer's bisection. Whole families are opposed to split ones, thereby bisecting the code. 912 Codons in opposed families are mapped to each other with the ordered set of Rumer's transformation and two half-913 transformations. Transformation of third bases is trivial as they are the same in any family; therefore contracted representation is 914 adequate to show this regularity. The regularity is valid both for the standard and the euplotid (shown here) version. 915 (b) Categorization of amino acids by nucleon numbers. Free molecules unmodified by cytoplasmic environment are shown. 916 Each of them is formed of the standard block and a side chain. Blocks are identical in all amino acids except proline. Chains are 917 unique for each amino acid. Numbers of nucleons, i.e. protons and neutrons, are shown for both blocks and chains. To avoid ambiguity, it is judicious to consider only most common and stable isotopes: ¹H, ¹²C, ¹⁴N, ¹⁶O, ³²S. The bar at the bottom shows 918 919 the redundancy of amino acids in the code. Cross-cut bonds symbolize the distinction between standard blocks and unique side 920 chains of amino acids. The arrow in proline denotes hereafter the "activation key" (see Section 2.4).

921 Fig. 3. Digital symmetry of decimals divisible by 037. Leading zero emphasizes its equal participation in the symmetry. All 922 three-digit decimals with identical digits 111, ..., 999 are divisible by 037. The sum of three identical digits gives the quotient of 923 the number divided by 037. Analogous sum for numbers with unique digits gives the central quotient in the column. Digits in 924 these numbers are interconnected with cyclic permutations that are mirror symmetrical in neighbor columns. Addition instead of 925 division provides an efficient way to perform checksums (see Appendix C). The scheme extends to decimals with more than 926 three digits, if they are represented as $a + 999 \times n$, where n is the quotient of the number divided by 999 and a is the remainder, to 927 which the same symmetry then applies (for three-digit decimals n = 0). Numbers divisible by 037 and larger than 999 will be 928 shown in this way.

Fig. 4. The structure of the signal. All details are discussed sequentially in the text. The image of scales represents precisenucleon equalities. DEC stands for distinctive decimal notation of nucleon sums. The dotted box denotes the cytoplasmic

balance (see *Appendix D*), the only pattern maintained by actual proline and cellular milieu. All other patterns are enabled by the
"activation key" and are valid for free amino acids. K stands for {T, G}, M stands for {A, C}. Though all three types of
transformations act in the patterns, only Rumer's transformation is indicated for simplicity.

Fig. 5. Gamow's sorting of codons according to their nucleotide base composition. Base combinations (shown on triangular frames) produce three sets: 4 codons with three identical bases, 24 codons with unique bases and 36 codons with two identical bases. (a) The first and the second sets halved by vertical axis with Rumer's and half-transformations along with *Spin* \rightarrow *Antispin* transformation denoted with circular arrows. Applied to triangular frames, these arrows define the sequence of bases in codons. Note that while any block sum (with the activation key applied) is divisible by 037 as each block has $74 = 2 \times 037$ nucleons, chain sums are not restricted in this way. (b) The third set halved according to whether identical bases are purines or pyrimidines.

941 Fig. 6. The decomposed standard code. (a) Decomposition shown for one family of codons. Three T-bases contribute three Cys 942 molecules into T-set; one A-base contributes one *Stop* to A-set and so on for the entire code. (b) Identical bases are sorted into 943 four sets regardless of their position in codons. The sets are shown twice for convenience.

Fig. 7. The contracted euplotid code with the systematization rule applied (compare with Fig. 2). (**a**) The resulting arrangement of contracted codon series forming the ideogram. Side-by-side alignment of vertical series produces three horizontal strings of peer-positioned bases. Gln and Lys have the same nucleon number; ambiguity in their positioning is eliminated by the symmetries considered further. (**b**) The arithmetical background of the ideogram (valid for the standard version as well, as it contributes another zero to the III, II, I set). For β and γ side chain levels see Section 4.3 in *Discussion*.

949 Fig. 8. Additional arithmetical patterns of the contracted code (shared by both code versions). (a) The code is divided according 950 to whether first bases are purines or pyrimidines. This gives two sets with equal numbers of series. The halve with pyrimidines in 951 first positions reveals a new balance of chains and blocks analogous to that in Fig. 7b. Another halve is algebraically dependent 952 except the decimal sum of its β , δ , ζ levels, see *Discussion*, Section 4.3. (b) The code is divided according to whether first bases 953 are K or M (left) or whether central bases are K or M (center). Both divisions produce halves with identical chain nucleon sums. 954 As algebraic consequence of these divisions, series with K in first and central positions and series with M in first and central 955 positions are chain-balanced (right). Each of the three divisions is accompanied by half-transformations and, remarkably, also 956 produces equal numbers of series in each half. This pattern is the only one that shows no divisibility by 037. However, all three 957 numbers - 654, 789 and 369 - are again specific in decimal notation where digits in each of them appear as arithmetic 958 progressions.

959 Fig. 9. Patterns of the short (a) and the long (b) upper strings. The strings are arranged with the same set of symmetries: *mirror* 960 symmetry (denoted with the central vertical axis), *translation* symmetry (denoted with italicized letters and skewed frames) and 961 purine↔pyrimidine *inversion* (denoted with color gradient, where black and white stand for pyrimidines and purines, 962 respectively). The image of DNA at the top illustrates possible interpretation of the short string (see Section 4.4 in *Discussion*).

Fig. 10. Patterns of the short (**a**, **b**) and the long (**a**, **c**, **d**, **e**) center strings. Both strings are arranged with purine-pyrimidine *mirror* symmetry, purine \leftrightarrow pyrimidine *inversion* and *semantical* symmetry. The first two are denoted in the same way as in Fig. 9, π denotes palindrome.

966 Fig. A.1. Molecular mechanisms of the genetic code (shown for the case of serine amino acid) and a simple example of its 967 artificial modification. The contour arrows indicate directionality of DNA and RNA strands as defined by orientation of their 968 subunits (designated in biochemistry as $5' \rightarrow 3'$ orientation; replication, transcription and translation occur only in that direction). 969 (a) tRNA^{Ser} gene (the gene of tRNA that specifies Ser in the standard code) is transcribed by RNA polymerase from genomic 970 DNA. (b) The folded tRNA^{Ser} molecule (top), serine molecule (middle) and seryl-tRNA synthetase (SARS, an aaRS cognate for amino acid serine; bottom). (c) SARS recognizes both serine and tRNA^{Ser} and binds them together. (d) Ser-tRNA^{Ser} released 971 972 from SARS and ready to be delivered to ribosome. (e) The process of peptide synthesis at the ribosome (as an example, the 973 mRNA with the gene fragment of the SARS itself is shown). (f) The resulting fragment of the genetic code (also shown is Ala 974 group, which will be used in an example below). (g)-(k). A simple way of genetic code modification. The shaded sequence in (j) 975 corresponds to the region shown in (e).

Fig. B.1. Distribution of variant codes by their scores for (a) nucleon equalities and (b) ideogram symmetries. The size of the
sample in both cases is one billion codes.

Fig. C.1. Similar to the decimal system, the quaternary system also displays symmetry of digital triplets, where 7 (0134) acts
instead of 037.

Fig. D.1. Amino acids of the standard genetic code in the form of a circular peptide (sequence order does not matter). The peptide is formed by aggregating standard blocks of amino acids into polymer backbone. Formation of each peptide bond releases a water molecule reducing each amino acid block to 56 nucleons (55 in proline). Asp and Glu lose one proton each from their side chains at cytoplasmic pH, while Arg and Lys gain one proton each (denoted with –1 and +1, respectively). Other amino acids are predominantly neutral in cytoplasmic environment (Alberts et al., 2008). As a result, nucleon sum of the peptide backbone is exactly equal to that of all its side chains.





GER



























Quaternary 013 and 333 = Decimal 7 and 63



- > The SETI hypothesis of an intelligent signal in the genetic code is tested
- > The code is shown to possess an ensemble of samestyle precision-type patterns
- ria of